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*Admitted only in Maryland *Admitted only in Virginia *Practice Limited to Federal Agencies

June 16, 2004

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Art Unit 1623

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 10/758,267; Filed: January 16, 2004

For: Methods for the Preparation of Polyol Esters that are Light in Color

Inventor:

George B. Poppe

Our Ref:

1533.6910000/PAJ/BLS

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. Listing of the cited documents on Form PTO-1449 (2 sheets);
- 3. A copy of the five (5) non-patent documents cited on Form PTO-1449; and
- 4. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Bryan L. Skelton Agent for Applicant

Registration No. 50,893

PAJ/BLS/cjs Enclosures

SKGF 267705.1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

George B. Poppe

Appl. No.: 10/758,267

Filed: January 16, 2004

For: Methods for the Preparation of Polyol Esters that are Light in

Color

Confirmation No.: 5132

Art Unit: 1623

Examiner: To be assigned

Atty. Docket: 1533.6910000/PAJ/BLS

Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§1.56, 1.97 and 1.98. A copy of each document is also provided.

A copy of Document **AA1** is not provided in accordance with the U.S. Patent and Trademark Office OG notice of August 5, 2003, which states "[t]he Office hereby waives the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003."

Document **AS1**, Celades, R., and Paquot, C., "Preparation des Esters de Polyoxyéthylèneglycols par Glycolyse," in Chemistry, Physics and Application of Surface Active Substances, pp.249-255 (1967) is in the French language. The relevance of document **AS1** can be ascertained by reference to the English language summary found on page 249.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicant has listed publication dates on the attached PTO-1449 based on

information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Bryan L. Skelton Agent for Applicants

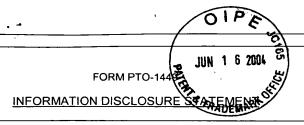
Registration No. 50,893

Date: JUNE 16, 2004

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	AT	Gupta, M Products 1 569-601	, Ch. 11, Vol. 4,	g Processes for Emu 5th ed., Hui, Y.H., ed	ulsifiers" in <i>Bailey</i> d., John Wiley & S	's <i>Industrial Oil</i> Sons, Inc., New	and Fat York, NY, pp.	
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FIRST NAMED INVENTOR		
Poppe, G.B.		
FILING DATE	ART UNIT	
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U.S. PATENT DOCUMENTS									
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	1/"	_1	OTHER (II	ncluding Auth	or. Title. Date. Pertinent P	Pages, etc.)	<u>l</u>	140	
	AR	2	OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Jiratumnukul, N., and Van De Mark, M.R., "Preparation of Glycol Esters of Soybean Oil Fatty Acids and Their Potential as Coalescent Aids in Paint Formulations," <i>JAOCS</i> 77:691-697, AOCS Press (2000)						
	AS	2	Sonntag, N.O.V., "Fat Splitting, Esterification, and Interesterification" in <i>Bailey's Industrial Oil and Fat Products</i> , Vol. 2, 4th ed., Swern, D., ed., John Wiley & Sons, Inc., New York, NY, pp. 97-173 (1982)						
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EXAMINER	EXAMINER DATE CONSIDERED								
EXAMINER : Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.									